

07/06/01

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PTO/SB/05 (03-01)

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Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. **ONESOURCE/PATENT**  
 First Inventor **NGUYEN**  
 Title **TRANSACTIONAL CONTROL SYSTEM**  
 Express Mail Label No. **EL 649 829 885 US**

11000 U.S. PTO  
09/900397

07/06/01

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

## ADDRESS TO:

Assistant Commissioner for Patents  
 Box Patent Application  
 Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
 (Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
 See 37 CFR 1.27.
3. ☒ Specification [Total Pages **98**]  
 (preferred arrangement set forth below)  
 - Descriptive title of the invention  
 - Cross Reference to Related Applications  
 - Statement Regarding Fed sponsored R & D  
 - Reference to sequence listing, a table,  
 or a computer program listing appendix  
 - Background of the Invention  
 - Brief Summary of the Invention  
 - Brief Description of the Drawings (if filed)  
 - Detailed Description  
 - Claim(s)  
 - Abstract of the Disclosure  
 - **APPENDIX A: 78 pp.**
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets **6**]
5. ☒ Oath or Declaration [Total Pages **1**]  
 a. ☒ Newly executed (original or copy)  
 b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
 (for continuation/divisional with Box 18 completed)  
 i. ☐ **DELETION OF INVENTOR(S)**  
 Signed statement attached deleting inventor(s)  
 named in the prior application, see 37 CFR  
 1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or  
 Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
 (if applicable, all necessary)  
 a. ☐ Computer Readable Form (CRF)  
 b. Specification Sequence Listing on:  
 i. ☐ CD-ROM or CD-R (2 copies); or  
 ii. ☐ paper  
 c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
 (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
 (if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122  
 (b)(2)(B)(i). Applicant must attach form PTO/SB/35  
 or its equivalent.
17. ☒ Other: **CERT. EXPRESS MAIL JULY 6, 01**

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: \_\_\_\_\_ / \_\_\_\_\_

Prior application information: **E**

For CONTINUATION OR DIVISIONAL A  
 Box 5b, is considered a part of the dis  
 The incorporation can only be relied up



24390

PATENT TRADEMARK OFFICE

Unit: \_\_\_\_\_

in which an oath or declaration is supplied under  
 application and is hereby incorporated by reference.  
 a submitted application parts.

☒ Customer Number or Bar Code Labelor ☒ Correspondence address below

Name **DAVID JACOBS**

Address **LUCASH GESMER & UPDEGROVE**  
**40 BROAD STREET**

City **BOSTON** State **MA** Zip Code **02109**

Country **USA** Telephone **617.350.6800** Fax **617.350.6878**

Name (Print/Type) **DAVID JACOBS** Registration No. (Attorney/Agent) **31,770**

Signature **[Signature]** Date **JULY 6, 2001**

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07/06/01

JCS951 U.S. PTO

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$)**355**

## Complete if Known

Application Number	
Filing Date	JULY 6, 2001
First Named Inventor	NGUYEN
Examiner Name	
Group Art Unit	
Attorney Docket No.	ONESOURCE / PATENT

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **122-315**  
Deposit Account Name **LUCASH GOSMER**

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	710	201	355	Utility filing fee	<b>355</b>
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$)**355**

### 2. EXTRA CLAIM FEES

Total Claims **10** -20\*\* = **0** X **0** = **0**  
Independent Claims **2** -3\*\* = **0** X **0** = **0**  
Multiple Dependent **0** = **0**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
103	18	203	9	Claims in excess of 20	
102	80	202	40	Independent claims in excess of 3	
104	270	204	135	Multiple dependent claim, if not paid	
109	80	209	40	** Reissue independent claims over original patent	
110	18	210	9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$)**0**

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)**0**

## SUBMITTED BY

Name (Print/Type)	DAYID JACOBS	Registration No. (Attorney/Agent)	31,770	Telephone	617.350.6800
Signature	<i>DAVID</i>	Date	JULY 6, 2001		

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Table 1. Demographic characteristics of the study population	
Age (years)	Mean (SD)
Male	50.5 (10.5)
Female	51.5 (10.5)
Marital status	
Married	75.5%
Single	24.5%
Education level	
High school or less	65.5%
College or more	34.5%
Occupation	
Professional	15.5%
Managerial	10.5%
Technical	15.5%
Service	25.5%
Unemployed	32.5%
Income (TL/month)	
Less than 1000	45.5%
1000-2000	35.5%
More than 2000	19.0%
Health status	
Good	65.5%
Fair	34.5%
Bad	0.0%
Smoking status	
Smoker	35.5%
Non-smoker	64.5%
Alcohol consumption	
Yes	15.5%
No	84.5%
Family size	
1-2	45.5%
3-4	35.5%
5 or more	19.0%

Date Filed Herewith: July 6, 2001


## CERTIFICATE OF EXPRESS MAILING

I hereby certify that the attached correspondence is being deposited, under 37 CFR 1.10, with the United States Postal Service "Express Mail Post Office to Addressee" Service, Label No. --

EL 649 829 885 US

-- on July 6, 2001, in an envelope addressed to **BOX PATENT APP., Commr. for Patents, Washington, D.C. 20231.**

Signature of person mailing:



David Jacobs